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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/903,188	07/11/2001	Edward M. De Robertis	510015-258	1059	
75	590 02/16/2005		EXAM	NER	
Margaret Duncan McDermott Will & Emery, LLP 227 West Monroe Street			ROMEO, DAVID S		
			ART UNIT	PAPER NUMBER	
Suite 4400	.0606 5 006 .		1647		
Chicago, IL 60606-5096			DATE MAILED: 02/16/2005		
		•	Re.	-mail	

Please find below and/or attached an Office communication concerning this application or proceeding.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/903,188	07/11/2001	Edward M. De Robertis	510015-258	1059
7590 05/27/2004			EXAMINER	
Attention: Charles Berman			ROMEO, DAVID S	
OPPENHEIME	R WOLFF & DONNELI	CY '	<u></u>	
38th Floor			ARTUNIT	PAPER NUMBER
2029 Century Park East			1647	
Los Angeles, CA 90067-3024			DATE MAII ED: 05/27/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
	09/903,188	DE ROBERTIS ET AL.					
Office Action Summary	Examiner	Art Unit					
	David S Romeo	1647					
- The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SDX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned putent term adjustment. See 37 CFR 1.704(b).	is(a). In no event, however, may a reply be tin within the statutory minimum of thirty (30) day nill apply and will expire SDK (6) MONTHS from cause the amplication to become ABAMDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).					
Status	•						
1) Responsive to communication(s) filed on 22 A	ugust 2003.						
2a) This action is FINAL. 2b) ☑ This	- ·						
•	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under E	ix parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.					
Disposition of Claims		•					
4) Claim(s) 6-8.11 and 12 is/are pending in the ap	Claim(s) 6-8.11 and 12 is/are pending in the application.						
4a) Of the above daim(s) 11 is/are withdrawn f	4a) Of the above claim(s) 11 is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.	·						
6)⊠ Claim(s) <u>6-8 and 12</u> is/are rejected.	☑ Claim(s) <u>6-8 and 12</u> is/are rejected.						
7) Claim(s) is/are objected to.							
8) Claim(s) 6-8.11 and 12 are subject to restriction	n and/or election requirement.						
Application Papers							
9) The specification is objected to by the Examine	г.						
10) The drawing(s) filed on is/are: a) acc	epted or b) objected to by the	Examiner.					
Applicant may not request that any objection to the							
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12)☐ Acknowledgment is made of a claim for foreign a)☐ All b)☐ Some * c)☐ None of:)-(d) or (f).					
1. Certified copies of the priority document							
2. Certified copies of the priority document							
3. Copies of the certified copies of the prior		ed in this National Stage					
application from the International Bureau * See the attached detailed Office action for a list		ed.					
	er and continue copies not receive						
	e .						
Attachment(s)	•	•					
1) Motice of References Cited (PTO-892)	4) Interview Summary	•					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	Paper No(s)/Mail D 5) Notice of Informal F	ate Patent Application (PTO-152)					
Paper No(s)/Mail Date <u>0402</u> .	6) Other:	•					

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DETAILED ACTION

The preliminary amendments filed 11/17/2003 and 07/11/2001 have been entered.

Claims 6-8, 11, 12 are pending.

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Applicant's election of group I, claims 6-8, 12, in Paper No./the paper filed 08/22/2003 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

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Applicant's election of the polypeptide encoded by SEQ ID NO: 10 or comprising the amino acid sequence of SEQ ID NO: 9 species in Paper No./the paper filed 12/08/2003 is acknowledged.

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Claim 11 is withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim.

Election was made without traverse in Paper No./the paper filed 08/22/2003.

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Claims 6-8, 12 are being examined. Claim 12 is being examined only to the extent that it reads upon the polypeptide encoded by SEQ ID NO: 10 or comprising the amino acid sequence of SEQ ID NO: 9 species.

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Priority

Applicant has not complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 120 as follows:

An application in which the benefits of an earlier application are desired must contain a specific reference to the prior application(s) in the first sentence of the specification or in an application data sheet (37 CFR 1.78(a)(2) and (a)(5)). The specific reference to any prior nonprovisional application must include the relationship (i.e., continuation, divisional, or continuation-in-part) between the applications except when the reference is to a prior application of a CPA assigned the same application number.

It is acknowledged that the present application contains a specific reference to the 08/874,474 prior application in the first sentence of the specification. However, the specific reference to the 08/874,474 prior nonprovisional application does not include the relationship (i.e., continuation, divisional, or continuation-in-part) between the applications. The status of nonprovisional parent application(s) (whether patented or abandoned) should also be included. If a parent application has become a patent, the expression "now Patent No. _____" should follow the filing date of the parent application. If a parent application has become abandoned, the expression "now abandoned" should follow the filing date of the parent application.

If a benefit claim to a provisional application is submitted without an indication that an intermediate application directly claims the benefit of the provisional application and the instant nonprovisional application is not filed within the 12 month period or the relationship between each nonprovisional application is not indicated, the Office will not recognize such benefit claim and will not include the benefit claim on the filing receipt.

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Therefore, a petition under 37 CFR 1.78(a) and the surcharge set forth in 37 CFR 1.17(t) will be required if the intermediate application and the relationship of each nonprovisional application are not indicated within the period set forth in 37 CFR 1.78(a). Even if the Office has recognized a benefit claim by entering it into the Office's database and including it on applicant's filing receipt, the benefit claim is not a proper benefit claim under 35 U.S.C. 119(e) or 35 U.S.C. 120 and 37 CFR 1.78 unless the reference is included in an ADS or in the first sentence of the specification and all other requirements are met. Accordingly, the benefit of the filing dates of the 08/874,474 nonprovisional application and the 60/020,150 provisional application is denied.

It is acknowledged that Applicants submitted a petition on 11/17/2003 to accept an unintentionally delayed claim for priority. However, that petition has been dismissed. See the paper mailed 05/21/2004.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 6-8, 12 are rejected under 35 U.S.C. 102(b) as being anticipated by De Robertis (N).

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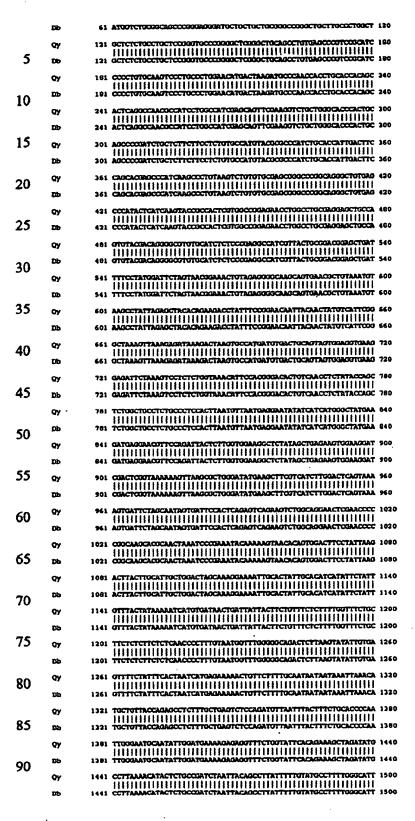
This rejection is being made because the Office does not recognize Applicants benefit claims to the 08/874,474 nonprovisional application and the 60/020,150 provisional application, as discussed above.

De Robertis discloses a substantially pure protein characterized by a

physiologically active form and comprising an amino acid sequence encoded by the DNA of SEQ ID NO: 10 (page 25, claim 6). De Robertis's SEQ ID NO: 10 is identical to the present application's SEQ ID NO: 10, as indicated below (Qy = the present application's SEQ ID NO: 10) (Db = De Robertis's SEQ ID NO: 10):

```
10
                   AAV14017 standard; cDNA; 1893 BP.
                   AAV14017
           09-JUL-1998 (first entry)
15
                  Haman "frazzled" frzb-1 cDNA.
                   Growth factor; frazzled; frzb-1; Whits antegonist; human;
                   tumour suppressor; cancer; ds.
20
                  Homo espiens.
                                         Location/Qualifiere
61..1038
25
                                         /*tag= a
/product= frab-1_protein
                  W09748275-A1.
30
                  24-DEC-1997.
                  19-JUN-1997;
                                       97NO-US10942.
                                       9709-0978474.
9609-0020150.
35
                  20-JUN-1996;
                   (REGC ) UNIV CALIFORNIA.
                  Bounnesster T, De Robertis SM;
40
                  WPI: 1998-062760/06.
                  New isolated growth factors - with neurotrophic, growth or
differentiation factor activity, tumour growth suppressor activity
or assoderm differentiation activity
45
                  Claim 6; Fig 10; 48pp; English.
50
                  The present sequence encodes the human growth factor protein "frazzled" frzb-1. frzb-1 is an antagonist of Mnte in vivo, and thus is believed to find utility as a tumour suppressor geme, since oversopressed Mnt proteins cause cancer. Frzb-1 may also be a useful vehicle for solubilisation and therapeutic delivery of
55
                  complexed Wat proteins.
                  Sequence 1893 BP; $16 A; 438 C; 432 G; $07 T; 0 other;
                                               100.0%; Score 1893; DB 19; Length 1893; 100.0%; Pred. No. 0; tive 0; Mismatches 0; Indels 0;
60
              Best Local Similarity 100
Matches 1893; Conservative
                              GGCGGAGCGGCCTTTTGGCGGTCCACTGCGCGCGCTGCCCCATCTGCCCGGATC
65
           Qy
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HILLIAN HILLIA
       5
                                                                                                          TOTICATEGOCAMOCATCAMOCACCAGAMOTOTTETUGGAMCACCACCOMAGA 1620
                                       Qy
                                                                                  1561
 10
                                                                                                           Qy
15
                                                                                                           CITTIOGCAATACATITOATITOITCATOAATATATTAATCAOCATTROAGAAATGAATT
                                       Qy
20
                                      ɒ
                                                                                Qy
25
                                                                                                         CCCATGOTGAAOTCAAAAAAAAAAAAAA
                                      DФ
```

De Robertis's SEQ ID NO: 10 encodes the amino acid sequence of SEQ ID NO: 9 and SEQ ID NO: 9 is the amino acid sequence of human frzb-1 (page 6, lines 29-31;

Figures 9 and 10). De Robertis's SEQ ID NO: 9 is identical to the present application's SEQ ID NO: 9, as indicated below (Qy = the present application's SEQ ID NO: 9) (Db = De Robertis's SEQ ID NO: 9):

```
35
             ABW41254
                    AAN41254 stenderd; protein; 325 AA.
                    AAW41254;
40
                    09-JUL-1998 (first entry)
                    Ruman "frazzled" frab-1.
                    Growth factor; feassled; freb-1; White antagoniet, hus
45
                    tumour suppressor; cancer.
                    W09748275-A1.
50
                    24-DEC-1997.
                    19-JUN-1997; 97WO-US010942.
55
                                           96U8-0020150P.
97U3-00878474.
                    18-JUN-1997;
60
                    De Robertis EM, Bouwmeester T;
                    WPI: 1998-062760/06.
                    N-PSDB; AAV14017
65
                    New isolated growth factors - with neurotrophic, growth or
differentiation factor activity, tumour growth suppressor activity or
nesodern differentiation activity.
                    Claim 6; Fig 9; 48pp; English.
70
                   The present sequence is the human growth factor protein "frazzled" frzb-1. frzb-1 is an antagonist of White in vivo, and thus is believed to find utility as a tumour suppressor gene, since oversupressod Wht proteins cause cancer. Frzb-1 may also be a useful vehicle for solubilisation and
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erapeutic delivery of complemed Wht proteins
                                                Query Match 100.0%; Score 1730; DB 2; Length 325; Best Local Similarity 100.0%; Pred. Mo. 7.1e-165; Matches 325; Conservative 0; Mismatches 0; Indele 0
         5
                                                                                                            HVCOSPONELLEROELALAALCLLEVPGARAACSPVELPLCESLEROSTIOSPIELES
 10
                                        Qy
                                                                                                                15
                                        ъ
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                                        QY
                                                                                                              RO INTERNITY INT
 25
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                                        Qγ
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De Robertis also discloses a complex comprising a substantially pure frzb-1

protein complexed with at least one Wnt protein (claim 12, page 26). Accordingly, De

Robertis discloses a complex comprising a substantially pure frzb-1 protein comprising
the amino acid sequence of SEQ ID NO: 9 complexed with at least one Wnt protein.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 12 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The present specification discloses that "substitutional, deletional, or insertional mutants of the novel polypeptides may be prepared by in vitro or recombinant methods and screened for immuno-crossreactivity with cerberus, frzb-1, or PAPC and for cerberus

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antagonist or agonist activity" (page 5, lines 31-35). Hence, it is unclear how to construe the term "frzb-1 protein" because it is unclear if "substitutional, deletional, or insertional mutants" are encompassed by the term "frzb-1 protein." The metes and bounds are not clearly set forth.

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Conclusion

No claims are allowable.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David S. Romeo whose telephone number is (571) 272-0890. The examiner can normally be reached on Monday through Friday from 7:30 a.m. to 4:00 p.m. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Kunz, can be reached on (571) 272-0887.

IF SUBMITTING OFFICIAL CORRESPONDENCE BY FAX, APPLICANTS ARE ENCOURAGED TO SUBMIT OFFICIAL CORRESPONDENCE TO THE FOLLOWING TO 1600 BEFORE AND AFTER FINAL RIGHTFAX NUMBERS:

BEFORE FINAL AFTER FINAL (703) 872-9306

15

(703) 872-9307

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NON-FINAL OR FINAL OFFICE ACTION BY FACSIMILE (SEE 37 CFR 1.5 AND 1.8).

FAXED DRAFT OR INFORMAL COMMUNICATIONS SHOULD BE DIRECTED TO THE EXAMINER AT (571) 273-0890.

ANY INQUIRY OF A GENERAL NATURE OR RELATING TO THE STATUS OF THIS APPLICATION OR PROCEEDING SHOULD BE

20 DIRECTED TO THE GROUP RECEPTIONIST WHOSE TELEPHONE NUMBER IS (703) 308-0196.

25

30

DAVID ROMEO

PRIMARY EXAMINER
ART UNIT 1647

DSR

MAY 26, 2004